



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

REGION 6

1445 ROSS AVENUE, SUITE 1200

DALLAS, TX 75202-2733

FEB 27 2015

GENERAL NOTICE LETTER -- URGENT LEGAL MATTER

PROMPT REPLY NECESSARY, CERTIFIED MAIL: #7014 0150 0000 2452 8043

RETURN RECEIPT REQUESTED

Baytown Asphalt Materials, LTD.
5210 West Road
Baytown, Texas 77522

RE: General Notice Letter for the CES Environmental Services, Inc- Houston Superfund Site in Houston, Harris County, Texas

Dear Sir/Madam:

The purpose of this letter is to notify you of your potential liability at the CES Environmental Services, Inc.- Houston Superfund Site (Site) and to invite you to join in settlement negotiations with the U.S. Environmental Protection Agency (EPA). The street address for the Site is 4904 Griggs Road, Houston, Harris County, Texas. The street addresses of the contiguous properties that are parts of the Site are 4900 Griggs Road and 5910 Wayland Street. The Site is surrounded by residential, educational and commercial properties. Based on available information, the EPA has determined that you may be responsible under the Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA), commonly known as the federal "Superfund" law, for cleanup of the Site or costs the EPA has incurred in cleaning up the Site.

Explanation of Potential Liability

A Superfund Site is a place that is contaminated with hazardous substances at levels that may present a threat to human health or the environment. Under Sections 106 and 107 of CERCLA, 42 U.S.C. §§ 9606 and 9607, potentially responsible parties (PRPs) may be required to perform cleanup actions to protect the public health and welfare or the environment. PRPs may also be responsible for costs incurred by the EPA in cleaning up the Site. PRPs include current and former owners and operators of the Site, as well as persons who sent or transported hazardous substances to the Site for disposal or treatment or who arranged for the disposal or treatment of hazardous substances at the Site.

Under CERCLA, specifically Sections 106(a) and 107(a), PRPs may be required to perform cleanup actions to protect the public health, welfare, or the environment. PRPs may also be responsible for costs incurred by the EPA in cleaning up the Site, unless the PRP can show divisibility of harm or any of the statutory defenses. PRPs include current and former owners and operators of a site, as well as persons who arranged for treatment and/or disposal of any hazardous substances found at the site, and persons who accepted hazardous substances for transport and selected the site to which the hazardous substances were delivered.

You have been identified as a PRP who either sent hazardous substances for disposal or treatment to the Site or generated certain hazardous substances that the EPA has found at the Site. For this reason the attached General Notice concerning the removal action (Enclosure 1) is being sent to you. The General Notice requests your cooperation in conducting the on-going removal action at the Site and for reimbursement of past and future costs. Enclosure 2 contains copies of the documents used to identify you as a PRP. Enclosure 3 contains a list of the entities who are receiving this General Notice.

Site Background

This Site is a former chemical recycling facility that filed for bankruptcy in 2010. Prior to the bankruptcy, the Site had been owned and operated by CES Environmental Services, Inc. The Site is now under the control of a Trustee for the Estate appointed by the Bankruptcy Court. The Estate does not have the funding necessary to address the conditions at the Site. The Site consists of approximately eleven vacuum boxes, two roll-off boxes, twelve frac tanks, two tanker trailers, 20 aboveground storage tanks, 15 waste water treatment tanks, waste piles, and numerous totes, vats, drums, and smaller containers. Additionally, there was spillage of chemicals to exterior surfaces as well as building interiors. The Site has experienced significant vandalism since its bankruptcy in 2010. Additionally, recent spills have occurred due to vandalism which has resulted in the spillage of chemicals and waste to the ground surface and into an adjacent residential neighborhood. Removal is time critical per the Action Memo.

The information provided by the Estate's consultant shows that there are hazardous wastes along with various hazardous substances within containers located on the Site. The hazardous substances include but are not limited to benzene (D018), creosol (D023-026), 2,4,6-trichlorophenol (D042), pH (D002), ignitability (D001), MEK (D035), and 1,2-dichloroethane (D028). These chemicals are hazardous substances as defined by Section 101(14) of CERCLA, 42 U.S.C. 9601(14), and further defined at 40 C.F.R. 302.4.

Response Actions to Date

On or about September 3, 2014, the EPA mobilized its contractors to begin addressing the wastes and spills at the Site. The EPA's Time Critical Removal Action has addressed the following:

1. Management of stormwater to reduce off-site overflow of contaminated stormwater from the Site.
2. Removal of wastes from ten of twelve vacuum boxes and from two of two roll-off boxes.
3. Removal of wastes from eight of twelve frac tanks. Three frac tanks currently hold wastes.
4. Removal of liquid, i.e., pump able, wastes from eleven of twenty-three aboveground storage tanks (AST). Sludge and residual materials remain until sludge removal and tank cleaning of ASTs is completed.
5. Empty totes/drums have been segregated for temporary storage.
6. Waste piles dumped to the ground from the theft of seven roll-off boxes was removed by the Trustee.
7. Lab chemicals/company process samples were collected and disposed by the Trustee.
8. Process chemicals were collected and disposed by the Trustee.

Upon reviewing the enclosed General Notice, please provide a written response to Mr. Robert Werner, Enforcement Officer at 214-665-6724 within **60 calendar days** after you receive this letter. Your response must identify of your intent to, or not to, enter into settlement negotiations with the EPA concerning this matter. Please mail your written response to Mr. Werner at the address that appears in the General Notice.

We look forward to working with you during the coming months.

Sincerely yours,

A handwritten signature in cursive script, appearing to read "Carl E. Edlund, P.E.", with a flourish at the end.

Carl E. Edlund, P.E.

Director

Superfund Division

Enclosures (4)

ENCLOSURE 1
GENERAL NOTICE REGARDING
CES ENVIRONMENTAL SERVICES, INC.- HOUSTON
HOUSTON, HARRIS COUNTY, TEXAS

This General Notice is from the U.S. Environmental Protection Agency (EPA). This General Notice is directed to you, the Potentially Responsible Party (PRP) of the CES Environmental Services, Inc.- Houston Site (Site). This General Notice does five things:

1. This General Notice tells you that you may be responsible for the presence of hazardous substances found at the Site. When we say "Site" or "property" in this General Notice, we mean the CES Environmental Services, Inc.- Houston Site. The street address for the Site is 4904 Griggs Road, Houston, Harris County, Texas. The street addresses of the contiguous properties that are parts of the Site are 4900 Griggs Road and 5910 Wayland Street. This General Notice is issued under the Comprehensive Environmental Response, Compensation and Liability Act (CERCLA or Superfund).
2. This General Notice provides you with background information leading up to the EPA's investigation of the Site and the EPA's activities to determine the source(s) of the contamination at the Site.
3. This General Notice invites you to meet and enter a settlement with the EPA as a Settling Party regarding the cleanup of this Site and cooperation in conducting the on-going removal action at the Site and for reimbursement of past and future costs.
4. This General Notice advises you that, if you request the EPA to do so, the EPA will consider your ability to pay in determining an appropriate settlement amount.
5. This General Notice provides to you the names of all entities, i.e., PRPs, to which this General Notice will be mailed.

NOTICE THAT YOU MAY BE LIABLE

Under Section 107 of CERCLA, 42 U.S.C. § 9607, responsible parties are those who are current owners or operators of a facility, past owners or operators who owned or operated the facility at the time hazardous substances were released or disposed of at the facility, persons who arranged for disposal or treatment at the facility (usually the person(s) who generated the hazardous substance) or persons who selected that facility and transported the hazardous substances to the facility. Section 107 of CERCLA, 42 U.S.C. § 9607, states that responsible parties are liable to the United States for the costs it has incurred or will incur conducting a response action such as that proposed for the Site. A PRP is therefore responsible for performing the cleanup action in accordance with the EPA requirements, paying for the cleanup by the EPA and reimbursing the Federal Government for past and future costs of the cleanup activities.

BACKGROUND

This Site is a former chemical recycling facility that filed for bankruptcy in 2010. Prior to the bankruptcy, the Site had been owned and operated by CES Environmental Services, Inc. The Site is now under the control of a Trustee for the Estate appointed by the Bankruptcy Court. The Estate does not have the funding necessary to address the conditions at the Site. The Site consists of approximately eleven vacuum boxes, two roll-off boxes, twelve frac tanks, two tanker trailers, twenty aboveground storage tanks, fifteen waste water treatment tanks, waste piles, and numerous totes, vats, drums, and smaller containers. Additionally, there is spillage of

chemicals to exterior surfaces as well as building interiors. The Site has experienced significant vandalism since its bankruptcy in 2010. Additionally, recent spills have occurred due to vandalism which has resulted in the spillage of chemicals and waste to the ground surface and into an adjacent residential neighborhood. Emergency responses to these spills have involved the Estate, City of Houston, Texas Commission on Environmental Quality (TCEQ) and the EPA. These spill responses were addressed by TCEQ and the EPA.

The information provided by the Estate's consultant shows that there are hazardous wastes along with various hazardous substances within containers located on the Site. The hazardous substances include but are not limited to Benzene (D018), Creosol (D023-026), 2,4,6-Trichlorophenol (D042), pH (D002), Ignitability (D001), MEK (D035), and 1,2-Dichloroethane (D028). These chemicals are hazardous substances as defined by Section 101(14) of CERCLA, 42 U.S.C. 9601(14), and further defined at 40 C.F.R. 302.4.

Response Actions to Date

On or about September 3, 2014, the EPA mobilized its contractors to begin addressing the wastes and spills at the Site. As of December 19, 2014, the EPA Team has addressed the following:

1. Management of stormwater to reduce off-site overflow of contaminated stormwater from the Site.
2. Removal of wastes from ten of twelve vacuum boxes and from two of two roll-off boxes.
3. Removal of wastes from eight of twelve frac tanks. Three frac tanks currently hold wastes.
4. Removal of liquid, i.e., pump able, wastes from eleven of twenty-three aboveground storage tanks (AST). Sludge and residual materials remain until sludge removal and tank cleaning of ASTs is completed.
5. Empty totes/drums have been segregated for temporary storage.
6. Waste piles dumped to the ground from the theft of seven roll-off boxes was removed by the Trustee.
7. Lab chemicals/company process samples were collected and disposed by the Trustee.
8. Process chemicals were collected and disposed by the Trustee.

PARTICIPATION

The EPA is inviting you to enter into a settlement with the EPA. In addition to avoiding the costs of litigation, settling with the EPA provides you with another advantage. Under the Superfund law, settling with the EPA helps protect you should another responsible party sue you for costs which that party pays to the EPA. Also, if you choose not to settle with the EPA, then the EPA may take civil administrative action and, ultimately, the EPA may request civil judicial action. A list of entities receiving this General Notice letter is included as Enclosure 3 to this letter.

OPPORTUNITY TO MEET

The EPA will also provide you the opportunity to meet with the EPA representatives to discuss your desire to enter into a settlement with the EPA.

FINANCIAL CONCERNS/ABILITY TO PAY SETTLEMENTS

The EPA is aware the financial ability of some PRPs to contribute toward the cleanup and/or payment of response costs at a site may be substantially limited. If you believe and can document that you fall within that category, please contact Mr. Robert Werner at 214-665-6724 for information on "Ability to Pay Settlements." In response, you will receive a package of information about the potential for such settlements. If the EPA concludes that you have a legitimate inability to pay the full amount of the EPA's costs, the EPA may offer a schedule for payment over time or a reduction in the total amount demanded from you.

Also, please note that because the EPA has a potential claim against you, if your financial status changes in any significant way, i.e., filing for bankruptcy, you must include the EPA as a creditor.

RESOURCES AND INFORMATION FOR SMALL BUSINESSES

On January 11, 2002, President Bush signed into law the Superfund Small Business Liability Relief and Brownfields Revitalization Act. This Act contains several exemptions and defenses to CERCLA liability, which we suggest that all parties evaluate. You may obtain a copy of the law via the Internet at:

<http://www.epa.gov/swerosps/bf/sblbra.htm>

and review the EPA guidance regarding these exemptions at:

<http://www.epa.gov/compliance/resources/policies/cleanup/superfund>

The EPA has created a number of helpful resources for small businesses. The EPA has established the National Compliance Assistance Clearinghouse as well as Compliance Assistance Centers, which offer various forms of resources to small businesses. You may inquire about these resources at www.epa.gov.

In addition, the EPA Small Business Ombudsman may be contacted at www.epa.gov/sbo. Finally, the EPA developed a fact sheet about the Small Business Regulatory Enforcement Fairness Act (SBREFA), which is enclosed with this letter (Enclosure 4).

YOUR RESPONSE TO EPA

Please notify Mr. Werner in writing at the address indicated below *within 60 calendar days after you receive this letter* to indicate your willingness to enter in good faith as a Settling Party. **If the EPA does not receive your written response within 60 calendar days after you receive this letter, the EPA will assume you do not wish be a Settling Party and the EPA will then take whatever actions are necessary to abate the potential threat to human health and the environment posed by contaminants on the property.**

Your response to this letter should be directed to:

Mr. Robert Werner
Enforcement Officer (6SF-TE)
United States Environmental Protection Agency
Region 6
1445 Ross Avenue
Dallas, Texas 75202
Telephone: 214-665-6724, Fax: 214-665-6660
werner.robert@epa.gov

If you or your attorney have legal questions pertaining to this matter, please direct them to:

Ms. Amy Salinas
Assistant Regional Counsel (6RC-S)
United States Environmental Protection Agency
Region 6
1445 Ross Avenue
Dallas, Texas 75202
Telephone: 214-665-8063, Fax: 214-665-6460
salinas.amy@epa.gov

The discussions of fact or law in this General Notice are meant to help you understand CERCLA and the EPA's actions at the Site. The discussions of fact and law are not final positions on any matter discussed in this General Notice.

ENCLOSURE 2
GENERAL NOTICE REGARDING
CES ENVIRONMENTAL SERVICES, INC.- HOUSTON
HOUSTON, HARRIS COUNTY, TEXAS

EVIDENTIARY DOCUMENTATION

SAMPLES OF EVIDENCE

Enclosed are copies of samples of documented evidence that identifies the name of your company. The examples are not intended to represent all the evidence in CES records. It is only a representative sample that identifies:

1. A waste hazardous substance that was generated by your company and was transported to the Site for disposal and/or recycling, or
2. A waste hazardous substance that was generated by your company and that was transported in a CES tanker trailer to a facility other than the Site for disposal and/or recycling. The EPA believes that residue of the waste hazardous substance that had been transported in the CES tanker trailer was released at the Site when the interior of the CES tanker trailer was cleaned at the Site.

**CES Environmental
Services, Inc.**

Bill Of Lading # :

85632

Folder ID : Century Asphalt Materials(Rosharon)
Oily Water

Original - Shipper Provided Short Form Straight Bill of Lading - Not Negotiable - Domestic

SHIPPED FROM :

Century Asphalt Materials (Rosharon)
5730 Old Alvin Rd
Rosharon, TX 77583
(281) 831-4508

11a) HOU-2541
11b)
11c)
11c)

The property described below, in apparent good order, except as noted (content and condition of packages unknown), marked, consigned, and destined as indicated below, which said carrier (the word carrier being understood throughout this as meaning any person or corporation authorized to be in possession of the property under the contract) agrees to carry to its unusual place of delivery at said destination, if on its route, otherwise to deliver to another carrier on the route to said destination. This Bill of Lading is a receipt for goods; it is not of itself a contract of carriage. It is mutually agreed, as to each carrier of all or as to any said over all or any portion of said route to destination, and as to each party at any time interested in all or any of said property, that every service to be performed hereunder shall be subject to all the terms and conditions contained in the applicable contract between shipper and carrier or intermediary.

For payment: Charges to be billed to Shipper or the "Billed to" party are set forth in the governing contract with Shipper. No charges other than those contained therein may be billed to Shipper or the "Billed to" party without prior written consent of Shipper. The extra copy of this Bill of Lading, furnished at the time of shipment, must be attached to the freight bill submitted to Shipper or the "Billed to" party and sent to:

CONSIGNEE TO :

CES Environmental Services, Inc.
4904 Griggs Rd.
Houston, TX 77021

CARRIER :

CES Environmental Services, Inc.
4904 Griggs Rd.
Houston, TX 77021

This is to certify that the product stated below are properly classified, described, packaged, marked and labeled and is in proper condition for transportation according to the applicable regulations of the Department of Transportation. If this Shipment moves between to ports by a carrier by water, the law requires that the Bill of Lading shall state whether it is "carrier's or shipper's weight."

Intermodal Certificate: All information required by Federal Highway Administration regulations at 49 CFR 390.540 implementing the Intermodal Safe Container Act of 1992 is set forth on the face of this bill of lading. The shipper name herein is the tendering party.

Haz	Container		Total Quantity	Unit Wt/Vol	Description of Materials, Special Marks, and Exceptions
	No.	Type			
No	1	TT	3,000	G	Non-DOT Regulated Material oily water

Shipper : Century Asphalt Materials (Rosharon)

Per : X Gilbert Peña Signature : X Gilbert Peña Date : 5/4/09

Carrier CES Environmental Services, Inc.

Per : J Hernandez Signature : [Signature] Date : 5/4/09

Receiving Facility CES Environmental Services, Inc.

Per : SAM BROWN Signature : [Signature] Date : 5.4.09

White (Generator Return Copy)

Yellow (Transporter Copy)

Pink (Receiving Facility Copy)

Golden Rod (Generator 1st Copy)

EPAHO001000860

**CES Environmental
Services, Inc.**

Bill Of Lading # :

85645

Folder ID :

Century Asphalt Materials(Rosharon)
Oily Water Containment Cleanout

Original - Shipper Provided Short Form Straight Bill of Lading - Not Negotiable - Domestic

SHIPPED FROM :

Century Asphalt Materials (Rosharon)
5730 Old Alvin Rd
Rosharon, TX 77583
(281) 831-4508

11a) HOU-Z541
11b)
11c)
11c)

The property described below, in apparent good order, except as noted (content and condition of packages unknown), marked, consigned, and destined as indicated below, which said carrier (the word carrier being understood throughout this as meaning any person or corporation authorized to be in possession of the property under the contract) agrees to carry to its unusual place of delivery at said destination, if on its route, otherwise to deliver to another carrier on the route to said destination. This Bill of Lading is a receipt for goods; it is not of itself a contract of carriage. It is mutually agreed, as to each carrier of all or as to any said over all or any portion of said route to destination, and as to each party at any time interested in all or any of said property, that every service to be performed hereunder shall be subject to all the terms and conditions contained in the applicable contract between shipper and carrier or intermediary.

For payment: Charges to be billed to Shipper or the "Billed to" party are set forth in the governing contract with Shipper. No charges other than those contained therein may be billed to Shipper or the "Billed to" party without prior written consent of Shipper. The extra copy of this Bill of Lading, furnished at the time of shipment, must be attached to the freight bill submitted to Shipper or the "Billed to" party and sent to:

CONSIGNEE TO :

CES Environmental Services, Inc.
4904 Griggs Rd.
Houston, TX 77021

CARRIER :

CES Environmental Services, Inc.
4904 Griggs Rd.
Houston, TX 77021

This is to certify that the product stated below are properly classified, described, packaged, marked and labeled and is in proper condition for transportation according to the applicable regulations of the Department of Transportation. If this Shipment moves between to ports by a carrier by water, the law requires that the Bill of Lading shall state whether it is "carrier's or shipper's weight."

Intermodal Certificate: All information required by Federal Highway Administration regulations at 49 CFR 390.540 implementing the Intermodal Safe Container Act of 1992 is set forth on the face of this bill of lading. The shipper name herein is the tendering party.

Haz	Container		Total Quantity	Unit Wt/Vol	Description of Materials, Special Marks, and Exemptions
	No.	Type			
No	1	TT	1,500	G	Non-DOT Regulated Material oily water

Shipper : Century Asphalt Materials (Rosharon)

Per : x Gilbert Peña Signature : x Gilbert Peña Date : 05/05/09

Carrier CES Environmental Services, Inc.

Per : J. Hernandez Signature : J. Hernandez Date : 5/5/09

Receiving Facility CES Environmental Services, Inc.

Per : SAM BROWN Signature : SAM BROWN Date : 5-5-09

White (Generator Return Copy)

Yellow (Transporter Copy)

Pink (Receiving Facility Copy)

Golden Rod (Generator 1st Copy)

EPAHO001000863



4904 Griggs Road
Houston TX 77021
Tel. (713) 676-1460
Fax. (713) 676-1460

Waste Pre-Acceptance/Approval Letter

Date **11/30/2007**

Dear **Mike Stewart**

Thank you for choosing CES Environmental Services, Inc. for your waste disposal and/or recycling needs. The following waste stream has been approved at our facility in Houston, TX. If the waste received does not conform to the profile, then rejection or additional charges may apply.

CES Profile # 2541

Expiration Date 12/4/2009

Generator: Century Asphalt Materials (Rosharon)

Address: 5730 Old Alvin Rd
Rosharon, TX 77583

Waste Information

Name of Waste: Recyclable hydrocarbon and water mixture

TCEQ Waste Code #: Recycle

Container Type:

Detailed Description of Process Generating Waste:

Removal of water and fuel oil mixture from containment

Color: dark **Odor:** slightly hydrocarbon **pH:** 3-11

Physical State:

Incompatibilities: none known

Safety Related Data/Special Handling:

std

If you have any questions concerning this approval and/or the conditions, then please feel free to contact our office at (713) 676-1460.

Thank you,

Matt Bowman, President
CES Environmental Services, Inc.

EPAHO050001028



4904 Griggs Road
Houston TX 77021
Tel. (713) 676-1460
Fax. (713) 676-1460

Waste Pre-Acceptance/Approval Letter

Date 12/15/2006

Dear

Thank you for choosing CES Environmental Services, Inc. for your waste disposal and/or recycling needs. The following waste stream has been approved at our facility in Houston, TX. If the waste received does not conform to the profile, then rejection or additional charges may apply.

CES Profile # 1964

Generator: Century Asphalt Materials (Rosharon)
Address: 5730 Old Alvin Rd
Rosharon, TX 77583

Waste Information

Name of Waste: TPH contaminated soil

TCEQ Waste Code #: CESQ3011

Container Type: yd bin

Detailed Description of Process Generating Waste:

Soil impacted with unused hydrocarbons (diesel)

Color: brown

Odor: non

pH: na

Physical State:

Incompatibilities: none

Safety Related Data/Special Handling:

Standard

If you have any questions concerning this approval and/or the conditions, then please feel free to contact our office at (713) 676-1460.

Thank you,

Matt Bowman, President
CES Environmental Services, Inc.



4904 Griggs Road
Houston TX 77021
Tel. (713) 676-1460
Fax. (713) 676-1460

Waste Pre-Acceptance/Approval Letter

Date 11/30/2007

Dear **Mike Stewart**

Thank you for choosing CES Environmental Services, Inc. for your waste disposal and/or recycling needs. The following waste stream has been approved at our facility in Houston, TX. If the waste received does not conform to the profile, then rejection or additional charges may apply.

CES Profile # 2541

Expiration Date 12/4/2009

Generator: Century Asphalt Materials (Rosharon)

Address: 5730 Old Alvin Rd
Rosharon, TX 77583

Waste Information

Name of Waste: Recyclable hydrocarbon and water mixture

TCEQ Waste Code #: Recycle

Container Type:

Detailed Description of Process Generating Waste:

Removal of water and fuel oil mixture from containment

Color: dark **Odor:** slightly hydrocarbon **pH:** 3-11

Physical State:

Incompatibilities: none known

Safety Related Data/Special Handling:

std

If you have any questions concerning this approval and/or the conditions, then please feel free to contact our office at (713) 676-1460.

Thank you,

Matt Bowman, President
CES Environmental Services, Inc.

EPAHO107000715



**CES Environmental
Services, Inc.**

4904 Griggs Road
Houston, TX 77021
Phone: (713) 676-1460
Fax: (713) 676-1676

Invoice

Date	Invoice #
8/27/2008	48985

Bill To: Century Asphalt and Materials
Attn: Otis Matthews
5303 Navagation Rd.
Houston, TX 77011

COPY

P.O. No.	Terms	Project
	Net 30	

Quantity	Description	Manifest #	Rate	Amount
7,786.37	8/25/08 Management of Non DOT regulated black oil @ \$1.50 per gallon CES Job # 70873	BOL 70873	1.50	11,679.56

We appreciate your business!

Late Payment Policy: Any unpaid balances begining on the 30th day after the account is due will accrue a per annum interest rate of 6%, unless otherwise stated in a formalized contract.

Subtotal	\$11,679.56
Sales Tax (8.25%)	\$0.00
Total	\$11,679.56

EPAHO064002891

CES Environmental Services, Inc.

Bill Of Lading # :

70893

Folder ID :

CES Internal Work

Original - Shipper Provided Short Form Straight Bill of Lading - Not Negotiable - Domestic

SHIPPED FROM :

Century Asphalt and Materials (Navigation Rd) 11a)
5303 Navigation Road 11b)
Houston, TX 77011 11c)
(713) 410-3942 11c)

The property described below, in apparent good order, except as noted (content and condition of packages unknown), marked, consigned, and destined as indicated below, which said carrier (the word carrier being understood throughout this as meaning any person or corporation authorized to be in possession of the property under the contract) agrees to carry to its unusual place of delivery at said destination, if on its route, otherwise to deliver to another carrier on the route to said destination. This Bill of Lading is a receipt for goods; it is not of itself a contract of carriage. It is mutually agreed, as to each carrier of all or as to any said over all or any portion of said route to destination, and as to each party at any time interested in all or any of said property, that every service to be performed hereunder shall be subject to all the terms and conditions contained in the applicable contract between shipper and carrier or intermediary.

For payment: Charges to be billed to Shipper or the "Billed to" party are set forth in the governing contract with Shipper. No charges other than those contained therein may be billed to Shipper or the "Billed to" party without prior written consent of Shipper. The extra copy of this Bill of Lading, furnished at the time of shipment, must be attached to the freight bill submitted to Shipper or the "Billed to" party and sent to:

CONSIGNEE TO :

CES Environmental Services, Inc.
4904 Griggs Rd.
Houston, TX 77021

CARRIER :

CES Environmental Services, Inc.
4904 Griggs Rd.
Houston, TX 77021

This is to certify that the product stated below are properly classified, described, packaged, marked and labeled and is in proper condition for transportation according to the applicable regulations of the Department of Transportation. If this Shipment moves between to ports by a carrier by water, the law requires that the Bill of Lading shall state whether it is "carrier's or shipper's weight."

Intermodal Certificate: All information required by Federal Highway Administration regulations at 49 CFR 390.549 implementing the Intermodal Safe Container Act of 1992 is set forth on the face of this bill of lading. The shipper name herein is the tendering party.

Haz	Container		Total Quantity	Unit Wt/Vol	Description of Materials, Special Marks, and Exceptions
	No.	Type			

No 1 TT 300 G

Non-Hazardous DOT Regulated Material

UN1993, FLAMMABLE Liquid, N.O.S., P.H. 111

Shipper : Century Asphalt and Materials (Navigation Rd)

Per : Leo Quintego

Signature :

Date : 8/25/08

Carrier CES Environmental Services, Inc.

Per : Roberto Delany

Signature :

Date : 8/25/08

Receiving Facility CES Environmental Services, Inc.

Per : Gode / my

Signature :

Date : 08/25/08

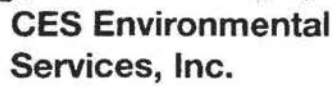
White (Generator Return Copy)

Yellow (Transporter Copy)

Pink (Receiving Facility Copy)

Golden Rod (Generator 1st Copy)

EPAHO064002939



4904 Griggs Road
Houston, TX 77021
Phone: (713) 676-1460
Fax: (713) 676-1676

Date	Invoice #
8/25/2008	48881

Bill To: Century Asphalt and Materials
Attn: Otis Matthews
5303 Navagation Rd.
Houston, TX 77011



COPY

P.O. No.	Terms	Project
	Net 30	

Quantity	Description	Manifest #	Rate	Amount
8/16/08	Transportation and Management of Non DOT regulated black oil 6552.02 gallons @ \$1.50 per gallon Total \$9,828.03	BOL 70374		
	CES OFFICE USE ONLY:			
	Transportation services by CES		300.00	300.00
	Management of Black Oil		9,528.03	9,528.03

We appreciate your business!

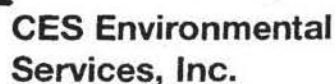
Subtotal	\$9,828.03
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Late Payment Policy: Any unpaid balances beginning on the 30th day after the account is due will accrue a per annum interest rate of 6%, unless otherwise stated in a formalized contract.

Sales Tax (8.25%)	\$0.00
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Total	\$9,828.03
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EPAHQ064002968



4904 Griggs Road
Houston, TX 77021
Phone: (713) 676-1460
Fax: (713) 676-1676

Date	Invoice #
8/25/2008	48878



Bill To: Century Asphalt and Materials
Attn: Otis Matthews
5303 Navagation Rd.
Houston, TX 77011

		P.O. No.	Terms	Project	
			Net 30		
Quantity	Description	Manifest #	Rate	Amount	
8/18/08	Transportation and Management of Non DOT regulated black oil 6995.52 gallons @ \$1.50 per gallon Total \$10,493.28	BOL 70412			
	CES OFFICE USE ONLY: Transportation services by CES Management of Black Oil		300.00 10,193.28	300.00 10,193.28	
We appreciate your business!		Subtotal		\$10,493.28	
Late Payment Policy: Any unpaid balances beginning on the 30th day after the account is due will accrue a per annum interest rate of 6%, unless otherwise stated in a formalized contract.		Sales Tax (8.25%)		\$0.00	
		Total		\$10,493.28	

EPAHQ064002982



**CES Environmental
Services, Inc.**

4904 Griggs Road
Houston, TX 77021
Phone: (713) 676-1460
Fax: (713) 676-1676

Invoice

Date	Invoice #
8/19/2008	48692

Bill To: Century Asphalt and Materials
Attn: Otis Matthews
5303 Navagation Rd.
Houston, TX 77011

 **COPY**

		P.O. No.	Terms	Project	
			Net 30		
Quantity	Description	Manifest #	Rate	Amount	
8/18/08	Transportation and Management of Non DOT recovered black oil 6387.39 gallons @ \$1.50 per gallon Total \$9,581.09	BOL 70411			
	CES OFFICE USE ONLY: Transportation services by CES Management of Black Oil		300.00 9,281.09	300.00 9,281.09	
We appreciate your business!		Subtotal		\$9,581.09	
Late Payment Policy: Any unpaid balances begining on the 30th day after the account is due will accrue a per annum interest rate of 6%, unless otherwise stated in a formalized contract.		Sales Tax (8.25%)		\$0.00	
		Total		\$9,581.09	

EPAHO064002989

**CES Environmental
Services, Inc.**

Bill Of Lading # :

36952

Folder ID :

Century Asphalt (Various Locations)
Sale of Burner Fuel

36952

Original - Shipper Provided Short Form Straight Bill of Lading - Not Negotiable - Domestic

SHIPPED FROM :

CES Environmental Services, Inc.
4904 Griggs Rd
Houston, TX 77021

11a)

11b)

11c)

11c)

The property described below, in apparent good order, except as noted (content and condition of packages unknown), marked, consigned, and destined as indicated below, which said carrier (the word carrier being understood throughout this as meaning any person or corporation authorized to be in possession of the property under the contract) agrees to carry to its unusual place of delivery at said destination, if on its route, otherwise to deliver to another carrier on the route to said destination. This Bill of Lading is a receipt for goods; it is not of itself a contract of carriage. It is mutually agreed, as to each carrier of all or as to any said over all or any portion of said route to destination, and as to each party at any time interested in all or any of said property, that every service to be performed hereunder shall be subject to all the terms and conditions contained in the applicable contract between shipper and carrier or intermediary.

For payment: Charges to be billed to Shipper or the "Billed to" party are set forth in the governing contract with Shipper. No charges other than those contained therein may be billed to Shipper or the "Billed to" party without prior written consent of Shipper. The extra copy of this Bill of Lading, furnished at the time of shipment, must be attached to the freight bill submitted to Shipper or the "Billed to" party and sent to:

CONSIGNEE TO :

Century Asphalt and Material (Katy)
27734 (hwy 90)
Katy, TX 77494

CARRIER :

CES Environmental Services, Inc.
4904 Griggs Rd.
Houston, TX 77021

This is to certify that the product stated below are properly classified, described, packaged, marked and labeled and is in proper condition for transportation according to the applicable regulations of the Department of Transportation. If this Shipment moves between to ports by a carrier by water, the law requires that the Bill of Lading shall state whether it is "carrier's or shipper's weight."

Intermodal Certificate: All information required by Federal Highway Administration regulations at 49 CFR 390.54(c) Implementing the Intermodal Safe Container Act of 1992 is set forth on the face of this bill of lading. The shipper name herein is the tendering party.

Haz	Container		Total Quantity	Unit Wt/Vol	Description of Materials, Special Marks, and Exceptions
	No.	Type			

No 001 TT 7000 G

Non-DOT Regulated oil

PO# 8517-3

Shipper : CES Environmental Services, Inc.

Per : ANTHONY CUA-A

Signature : [Signature]

Date : 03/02/07

Carrier CES Environmental Services, Inc.

Per : Guadalupe Campos

Signature : [Signature]

Date : 03-10-07

Receiving Facility Century Asphalt and Material (Katy)

Per : _____

Signature : [Signature]

Date : _____

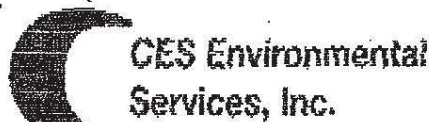
White (Generator Return Copy)

Yellow (Transporter Copy)

Pink (Receiving Facility Copy)

Golden Rod (Generator 1st Copy)

6501



4904 Griggs Road
Houston, TX 77021
Tel. (713) 676-1460
Fax. (713) 676-1676

Transportation Work Ticket

Folder ID : Century Asphalt (Various Locations)
Sale of Burner Fuel

Date : 3/2/2007

Manifest # : 36952

CES Environmental Services, Inc.

Client :

Ticket : 36952

Phone : 7136761460

Century Asphalt and Material (Katy)

CES Environmental Services, Inc.

Transporter :

Signature

Signature

Leave CES Yard :

Arrive At Destination 8:00

Arrive At Customer : 5:45 am

Begin Unloading : 8:20

Begin Loading : 5:45

Finish Unloading : 9:20

Finish Loading : 6:30

Leave Destination : 9:30

Leave Customer : 6:40

Arrive At CES Yard : 11:00

Customer PO #:

Total Hours:

CES Unload: ☒

Gross Weight :

Ending Odometer : 103467

Tare Weight :

Beginning Odometer : 103375

Net Weight :

Total Miles : 91

Driver : Campos, Guadalupe

Tractor # : 297

Tote # :

Signature : *Guadalupe Campos*

Trailer # : 410

Box # :

Job Comments/Equipment :

White (CES Office)

Yellow (CES Office / Billing)

Pink (CES Office / IFTA)

Golden Rod (Customer)

EPAHO024002301



4904 Griggs Road
Houston TX 77021
Tel. (713) 676-1460
Fax. (713) 676-1460

Waste Pre-Acceptance/Approval Letter

Date 11/9/2006

Dear Otis Matthews

Thank you for choosing CES Environmental Services, Inc. for your waste disposal and/or recycling needs. The following waste stream has been approved at our facility in Houston, TX. If the waste received does not conform to the profile, then rejection or additional charges may apply.

CES Profile # 1901

Generator: Century Asphalt and Materials (Galveston Rd)

Address: 9519 Galveston Rd
Houston, TX 77304

Waste Information

Name of Waste: Recyclable hydrocarbon and water mixture

TCEQ Waste Code #: Recycle

Container Type:

Detailed Description of Process Generating Waste:

Removal of water and fuel oil mixture from containment

Color: dark

Odor: slight hydrocarbon

pH: 3-11

Physical State:

Incompatibilities: none known

Safety Related Data/Special Handling:

Standard PPE

If you have any questions concerning this approval and/or the conditions, then please feel free to contact our office at (713) 676-1460.

Thank you,

Matt Bowman, President
CES Environmental Services, Inc.

**CES Environmental
Services, Inc.**

Bill Of Lading # :

37670

Folder ID :

Century Asphalt (Various Locations)
Sale of burner fuel

Original - Shipper Provided Short Form Straight Bill of Lading - Not Negotiable - Domestic

SHIPPED FROM :

Century Asphalt (Humble)
15409 Old Humble Road
Humble, TX 77396

11a)

11b)

11c)

11c)

The property described below, in apparent good order, except as noted (content and condition of packages unknown), marked, consigned, and destined as indicated below, which said carrier (the word carrier being understood throughout this as meaning any person or corporation authorized to be in possession of the property under the contract) agrees to carry to its unusual place of delivery at said destination, if on its route, otherwise to deliver to another carrier on the route to said destination. This Bill of Lading is a receipt for goods; it is not of itself a contract of carriage. It is mutually agreed, as to each carrier of all or as to any said over all or any portion of said route to destination, and as to each party at any time interested in all or any of said property, that every service to be performed hereunder shall be subject to all the terms and conditions contained in the applicable contract between shipper and carrier or intermediary.

For payment: Charges to be billed to Shipper or the "Billed to" party are set forth in the governing contract with Shipper. No charges other than those contained therein may be billed to Shipper or the "Billed to" party without prior written consent of Shipper. The extra copy of this Bill of Lading, furnished at the time of shipment, must be attached to the freight bill submitted to Shipper or the "Billed to" party and sent to:

CONSIGNEE TO :

Century Asphalt
15409 Old Humble Road
Humble, TX 77396

CARRIER :

CES Environmental Services, Inc.
4904 Griggs Rd.
Houston, TX 77021

This is to certify that the product stated below are properly classified, described, packaged, marked and labeled and is in proper condition for transportation according to the applicable regulations of the Department of Transportation. If this Shipment moves between to ports by a carrier by water, the law requires that the Bill of Lading shall state whether it is "carrier's or shipper's weight."

Intermodal Certificate: All information required by Federal Highway Administration regulations at 49 CFR 390.549 implementing the Intermodal Safe Container Act of 1992 is set forth on the face of this bill of lading. The shipper name herein is the tendering party.

Haz	Container		Total Quantity	Unit Wt/Vol	Description of Materials, Special Marks, and Exceptions
	No.	Type			

No	1	TT	5,200	G	Non DOT regulated oil
----	---	----	-------	---	-----------------------

Shipper : Century Asphalt (Humble)

Per : Victor de Leon

Signature : Victor de Leon

Date : 3-14-07

Carrier CES Environmental Services, Inc.

Per : Bobby Rodriguez

Signature : Bobby Rodriguez

Date : 3-14-07

Receiving Facility : Century Asphalt

Per : Victor de Leon

Signature : Victor de Leon

Date : 3-14-07

White (Generator Return Copy)

Yellow (Transporter Copy)

Pink (Receiving Facility Copy)

Golden Rod (Generator 1st Copy)

EPAHO024002288



4904 Griggs Road
Houston, TX 77021
Phone: (713) 676-1460
Fax: (713) 676-1676

Invoice

Date	Invoice #
12/12/2007	40764

COPY

Bill To: Century Asphalt (Humble)
15409 Old Humble Road
Humble, Texas 77396

P.O. No.	Terms	Project
10336-8		

Quantity	Description	Manifest #	Rate	Amount
	12/07/07			
5	Transportation services by CES @ \$70.00 per hour		70.00	350.00
	24% Fuel Surcharge		84.00	84.00
4,800	Recycling of Non RCRA regulated material oily water @ \$0.20 per gallon	BOL 54323	0.20	960.00
	CES job #54323			

We appreciate your business!

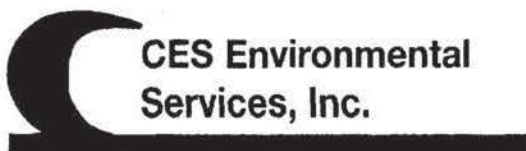
Subtotal \$1,394.00

Late Payment Policy: Any unpaid balances beginning on the 30th day after the account is due will accrue a per annum interest rate of 6%, unless otherwise stated in a formalized contract.

Sales Tax (0.00) \$0.00

Total \$1,394.00

EPAHO050000251



4904 Griggs Road
Houston TX 77021
Tel. (713) 676-1460
Fax. (713) 676-1460

Waste Pre-Acceptance/Approval Letter

Date 11/30/2007

Dear **Ralph Mendoza**

Thank you for choosing CES Environmental Services, Inc. for your waste disposal and/or recycling needs. The following waste stream has been approved at our facility in Houston, TX. If the waste received does not conform to the profile, then rejection or additional charges may apply.

CES Profile # 2540

Expiration Date 12/4/2009

Generator: Century Asphalt (Humble)

Address: 15409 Old Humble Road
Humble, TX 77396

Waste Information

Name of Waste: Recyclable hydrocarbon and water mixture

TCEQ Waste Code #: Recycle

Container Type:

Detailed Description of Process Generating Waste:

Removal of water and fuel oil mixture from containment

Color: dark

Odor: slightly hydrocarbon

pH: 3-11

Physical State:

Incompatibilities: none known

Safety Related Data/Special Handling:

std

If you have any questions concerning this approval and/or the conditions, then please feel free to contact our office at (713) 676-1460.

Thank you,

Matt Bowman, President
CES Environmental Services, Inc.

EPAHO050000254

**CES Environmental
Services, Inc.**

Bill Of Lading # :

54323

Folder ID :

Century Asphalt (Century Asphalt-Humble)
Oily Water

Original - Shipper Provided Short Form Straight Bill of Lading - Not Negotiable - Domestic

SHIPPED FROM :

Century Asphalt (Humble)
15409 Old Humble Road
Humble, TX 77396
(281) 441-7003

11a) 2540

11b)

11c)

11c)

BEHDE

The property described below, in apparent good order, except as noted (content and condition of packages unknown), marked, consigned, and destined as indicated below, which said carrier (the word carrier being understood throughout this as meaning any person or corporation authorized to be in possession of the property under the contract) agrees to carry to its unusual place of delivery at said destination, if on its route, otherwise to deliver to another carrier on the route to said destination. This Bill of Lading is a receipt for goods; it is not of itself a contract of carriage. It is mutually agreed, as to each carrier of all or as to any said over all or any portion of said route to destination, and as to each party at any time interested in all or any of said property, that every service to be performed hereunder shall be subject to all the terms and conditions contained in the applicable contract between shipper and carrier or intermediary.

For payment: Charges to be billed to Shipper or the "Billed to" party are set forth in the governing contract with Shipper. No charges other than those contained therein may be billed to Shipper or the "Billed to" party without prior written consent of Shipper. The extra copy of this Bill of Lading, furnished at the time of shipment, must be attached to the freight bill submitted to Shipper or the "Billed to" party and sent to:

CONSIGNEE TO :

CES Environmental Services, Inc.
4904 Griggs Rd.
Houston, TX 77021

CARRIER :

CES Environmental Services, Inc.
4904 Griggs Rd.
Houston, TX 77021

This is to certify that the product stated below are properly classified, described, packaged, marked and labeled and is in proper condition for transportation according to the applicable regulations of the Department of Transportation. If this Shipment moves between to ports by a carrier by water, the law requires that the Bill of Lading shall state whether it is "carrier's or shipper's weight."

Intermodal Certificate: All information required by Federal Highway Administration regulations at 49 CFR 390.549 implementing the Intermodal Safe Container Act of 1992 is set forth on the face of this bill of lading. The shipper name herein is the tendering party.

Haz	Container		Total Quantity	Unit Wt/Vol	Description of Materials, Special Marks, and Exceptions
	No.	Type			

No	1	TT	4800	G	Non-RCRA/Non-DOT Regulated Material oily water
----	---	----	------	---	--

Shipper : Century Asphalt (Humble)

Per : [Signature]

Signature : [Signature]

Date : 12/7/07

Carrier CES Environmental Services, Inc.

Per : William Denton

Signature : [Signature]

Date : 12/7/07

Receiving Facility CES Environmental Services, Inc.

Per : [Signature]

Signature : [Signature]

Date : 12/27/07

White (Generator Return Copy)

Yellow (Transporter Copy)

Pink (Receiving Facility Copy)

Golden Rod (Generator 1st Copy)

TRL256

EPAHO010002419



4904 Griggs Road
Houston TX 77021
Tel. (713) 676-1460
Fax. (713) 676-1460

Waste Pre-Acceptance/Approval Letter

Date 11/30/2007

Dear **Ralph Mendoza**

Thank you for choosing CES Environmental Services, Inc. for your waste disposal and/or recycling needs. The following waste stream has been approved at our facility in Houston, TX. If the waste received does not conform to the profile, then rejection or additional charges may apply.

CES Profile # 2540

Expiration Date 12/4/2009

Generator: Century Asphalt (Humble)

Address: 15409 Old Humble Road
Humble, TX 77396

Waste Information

Name of Waste: Recyclable hydrocarbon and water mixture

TCEQ Waste Code #: Recycle

Container Type:

Detailed Description of Process Generating Waste:

Removal of water and fuel oil mixture from containment

Color: dark

Odor: slightly hydrocarbon **pH:** 3-11

Physical State:

Incompatibilities: none known

Safety Related Data/Special Handling:

std

If you have any questions concerning this approval and/or the conditions, then please feel free to contact our office at (713) 676-1460.

Thank you,

Matt Bowman, President
CES Environmental Services, Inc.

EPAHO107000709

ENCLOSURE 3
GENERAL NOTICE LETTER REGARDING
CES ENVIRONMENTAL SERVICES, INC.- HOUSTON
HOUSTON, HARRIS COUNTY, TEXAS

ENTITIES TO WHICH THIS GENERAL NOTICE WILL BE MAILED

The Lubrizol Corporation
Advanced Aromatics, L.P
AMTEX Machine Products, Inc.
Andergauge Drilling Systems
Arkema Inc.
Ball Corporation
Canrig Drilling Technology Ltd.
Century Asphalt (Humble) d/b/a for Baytown Asphalt Materials, Ltd.
Champion Technologies (Merged into Nalco Company)
Citgo Petroleum Corporation (Citgo Refinery)
Cross Oil & Refining & Marketing, Inc..
Dover Corporation - Cook Compression (C Lee Cook)
Evalca Company of America
KMCO, Inc. (new name is Ramsey Properties, L.P.)
PGI International, LTD (merged into Parker-Hannifin Corporation)
Philip Reclamation Services Houston, LLC
Plaquemine Point Shipyard, Sunshine, LA
PPG Industries, Inc.
Praxair, Inc.
Proler Southwest
Quest Chemical Corporation
RasGas in Qatar @ KMTEX
Sierra Chemical Corporation
Skyhawk Chemicals, Inc.
Smithfield BioEnergy, LLC
Sun Coast Resources, Inc.
T. T. Barge Mile (Barge Mile 183, 237)
T. T. Barge Cleaning Mile 183, Inc. (d/b/a T.T. Barge [Mile 183])
T. T. Barges Services Mile 237 LLC (d/b/a T T Barge Mile [Barge Mile 237])
T.T. Barge (Mile 237)
T3 Energy Services-Cypress
Taber Extrusions
Targa Midstream Services LP
Tenaris Coiled Tube, LLC (Beitway 8) a-k-a Precision Tube
Texas Oil Tools, NOV (Conroe)

Texas Water Management

Total Petrochemicals, Inc. - Port Arthur

Transmontaing Product Service

Valero Refining-Texas, L.P. (d/b/a Valero Refining Co of Texas)

ZaCh System Corporation (Ampac)

ENCLOSURE 4
GENERAL NOTICE LETTER REGARDING
CES ENVIRONMENTAL SERVICES, INC.- HOUSTON
HOUSTON, HARRIS COUNTY, TEXAS

SMALL BUSINESS RESOURCES FACT SHEET



Office of Enforcement and Compliance Assurance
INFORMATION SHEET

U. S. EPA Small Business Resources

If you own a small business, the United States Environmental Protection Agency (EPA) offers a variety of compliance assistance resources such as workshops, training sessions, hotlines, websites, and guides to assist you in complying with federal and state environmental laws. These resources can help you understand your environmental obligations, improve compliance, and find cost-effective ways to comply through the use of pollution prevention and other innovative technologies.

Compliance Assistance Centers

(www.assistancecenters.net)

In partnership with industry, universities, and other federal and state agencies, EPA has established Compliance Assistance Centers that provide information targeted to industries with many small businesses.

Agriculture

(www.epa.gov/agriculture or 1-888-663-2155)

Automotive Recycling Industry

(www.ecarcenter.org)

Automotive Service and Repair

(www.ccar-greenlink.org or 1-888-GRN-LINK)

Chemical Industry

(www.chemalliance.org)

Construction Industry

(www.cicacenter.org or 1-734-995-4911)

Education

(www.campuserc.org)

Healthcare Industry

(www.hercenter.org or 1-734-995-4911)

Metal Finishing

(www.nmfrc.org or 1-734-995-4911)

Paints and Coatings

(www.paintcenter.org or 1-734-995-4911)

Printed Wiring Board Manufacturing

(www.pwborc.org or 1-734-995-4911)

Printing

(www.pneac.org or 1-888-USPNEAC)

Transportation Industry

(www.transource.org)

Tribal Governments and Indian Country

(www.epa.gov/tribal/compliance or 202-564-2516)

US Border Environmental Issues

(www.bordercenter.org or 1-734-995-4911)

The Centers also provide State Resource Locators

(www.envcap.org/statetools/index.cfm) for a wide range of topics to help you find important environmental compliance information specific to your state.

EPA Websites

EPA has several Internet sites that provide useful compliance assistance information and materials for small businesses. If you don't have access to the Internet at your business, many public libraries provide access to the Internet at minimal or no cost.

EPA's Home Page

www.epa.gov

Small Business Gateway

www.epa.gov/smallbusiness

Compliance Assistance Home Page

www.epa.gov/compliance/assistance

Office of Enforcement and Compliance Assurance

www.epa.gov/compliance

Voluntary Partnership Programs

www.epa.gov/partners



U.S. EPA SMALL BUSINESS RESOURCES

Hotlines, Helplines & Clearinghouses

(www.epa.gov/epahome/hotline.htm)

EPA sponsors many free hotlines and clearinghouses that provide convenient assistance regarding environmental requirements. A few examples are listed below:

Clean Air Technology Center

(www.epa.gov/ttn/catc or 1-919-541-0800)

Emergency Planning and Community Right-To-Know Act

(www.epa.gov/superfund/resources/infocenter/epcra.htm or 1-800-424-9346)

EPA's Small Business Ombudsman Hotline provides regulatory and technical assistance information.

(www.epa.gov/sbo or 1-800-368-5888)

The National Environmental Compliance Assistance Clearinghouse provides quick access to compliance assistance tools, contacts, and planned activities from the U.S. EPA, states, and other compliance assistance providers.

(www.epa.gov/clearinghouse)

National Response Center to report oil and hazardous substance spills.

(www.nrc.uscg.mil or 1-800-424-8802)

Pollution Prevention Information Clearinghouse

(www.epa.gov/opptintr/ppic or 1-202-566-0799)

Safe Drinking Water Hotline

(www.epa.gov/safewater/hotline/index.html or 1-800-426-4791)

Stratospheric Ozone Refrigerants Information

(www.epa.gov/ozone or 1-800-296-1996)

Toxics Assistance Information Service also includes asbestos inquiries.

(1-202-554-1404)

Wetlands Helpline

(www.epa.gov/owow/wetlands/welline.html or 1-800-832-7828)

State Agencies

Many state agencies have established compliance assistance programs that provide on-site and other types of assistance. Contact your local state environmental agency for more information or the following two resources:

EPA's Small Business Ombudsman

(www.epa.gov/sbo or 1-800-368-5888)

Small Business Environmental Homepage

(www.smallbiz-enviroweb.org or 1-724-452-4722)

Compliance Incentives

EPA provides incentives for environmental compliance. By participating in compliance assistance programs or voluntarily disclosing and promptly correcting violations before an enforcement action has been initiated,

businesses may be eligible for penalty waivers or reductions. EPA has two policies that potentially apply to small businesses:

The Small Business Compliance Policy

(www.epa.gov/compliance/incentives/smallbusiness)

Audit Policy

(www.epa.gov/compliance/incentives/auditing)

Commenting on Federal Enforcement Actions and Compliance Activities

The Small Business Regulatory Enforcement Fairness Act (SBREFA) established an SBA Ombudsman and 10 Regional Fairness Boards to receive comments from small businesses about federal agency enforcement actions. If you believe that you fall within the Small Business Administration's definition of a small business (based on your North American Industry Classification System (NAICS) designation, number of employees, or annual receipts, defined at 13 C.F.R. 121.201; in most cases, this means a business with 500 or fewer employees), and wish to comment on federal enforcement and compliance activities, call the SBREFA Ombudsman's toll-free number at 1-888-REG-FAIR (1-888-734-3247).

Every small business that is the subject of an enforcement or compliance action is entitled to comment on the Agency's actions without fear of retaliation. EPA employees are prohibited from using enforcement or any other means of retaliation against any member of the regulated community in response to comments made under SBREFA.

Your Duty to Comply

If you receive compliance assistance or submit comments to the SBREFA Ombudsman or Regional Fairness Boards, you still have the duty to comply with the law, including providing timely responses to EPA information requests, administrative or civil complaints, other enforcement actions or communications. The assistance information and comment processes do not give you any new rights or defenses in any enforcement action. These processes also do not affect EPA's obligation to protect public health or the environment under any of the environmental statutes it enforces, including the right to take emergency remedial or emergency response actions when appropriate. Those decisions will be based on the facts in each situation. The SBREFA Ombudsman and Fairness Boards do not participate in resolving EPA's enforcement actions. Also, remember that to preserve your rights, you need to comply with all rules governing the enforcement process.

EPA is disseminating this information to you without making a determination that your business or organization is a small business as defined by Section 222 of the Small Business Regulatory Enforcement Fairness Act or related provisions.